

1 UNITED STATES COURT OF APPEALS

2 FOR THE SECOND CIRCUIT

3 August Term, 2005

4 (Argued: February 17, 2006

Decided: April 24, 2006)

5 Docket No. 05-4115-cv

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7 NICOLE SCHIANO,

8 Plaintiff-Appellant,

9 - v -

10 QUALITY PAYROLL SYSTEMS, INC. and MICHAEL TINTWEISS,

11 Defendants-Appellees.

12 -----

13 Before: KEARSE and SACK, Circuit Judges, and STANCEU, Judge.*

14 The plaintiff appeals from a judgment of the United
15 States District Court for the Eastern District of New York (Denis
16 R. Hurley, Judge) granting summary judgment to the defendants on
17 her claims of, inter alia, sex discrimination proscribed by Title
18 VII of the Civil Rights Act of 1964 and the New York State Human
19 Rights Law. We disagree with the district court's conclusion
20 that the undisputed behavior of the individual defendant was, as
21 a matter of law, insufficiently severe or pervasive to constitute
22 a hostile work environment.

23 Affirmed in part, vacated in part, and remanded.

24 SAUL D. ZABELL, Zabell & Associates,
25 P.C., R. Elizabeth Urena, of counsel),
26 Bohemia, NY, for Plaintiff-Appellant.

* The Honorable Timothy C. Stanceu, of the United States Court of International Trade, sitting by designation.

1 JANET M. CONNOLLY, Goldberg & Connolly
2 (Robert C. Buff, of counsel), Rockville
3 Centre, NY, for Defendants-Appellees.

4 Elizabeth E. Theran, Attorney, U.S.
5 Equal Employment Opportunity Commission
6 (James L. Lee, Deputy General Counsel,
7 Carolyn L. Wheeler, Acting Associate
8 General Counsel, Vincent J. Blackwood,
9 Assistant General Counsel, of counsel),
10 Washington, DC, for Amicus Curiae U.S.
11 Equal Employment Opportunity Commission
12 in support of Plaintiff-Appellant.

13 SACK, Circuit Judge:

14 The plaintiff, Nicole Schiano, appeals from the
15 judgment of the United States District Court for the Eastern
16 District of New York (Denis R. Hurley, Judge) granting summary
17 judgment to the defendants on her claims, inter alia, that she
18 was subjected to a hostile work environment on the basis of sex
19 in violation of federal and state law. For purposes of the
20 defendants' motion for summary judgment, it is undisputed that
21 defendant Michael Tintweiss, a vice president of the defendant
22 Quality Payroll Systems, Inc. ("QPS"): told Schiano that if she
23 wanted a raise she was "sleeping with the wrong employee" (a
24 reference to her romantic relationship with another co-worker)
25 and repeated similar comments several times during the course of
26 the next five months; at an office Christmas party in the
27 presence of other employees, placed his hand on Schiano's skirt
28 and upper thigh and photographed himself doing so; asked if they
29 could go together to Schiano's hotel room after the party; and,
30 on several occasions, approached Schiano from behind while she
31 was working, leaned into her, and placed his hands on her back,

1 neck, and shoulders. The district court concluded that this
2 behavior was not severe or pervasive enough to create a hostile
3 work environment and therefore granted summary judgment to the
4 defendants.

5 "The question of whether a work environment is
6 sufficiently hostile to violate Title VII is one of fact." Holtz
7 v. Rockefeller & Co., 258 F.3d 62, 75 (2d Cir. 2001). On a
8 motion for summary judgment, the question for the court is
9 whether a reasonable factfinder could conclude, considering all
10 the circumstances, that "the harassment is of such quality or
11 quantity that a reasonable employee would find the conditions of
12 her employment altered for the worse." Whidbee v. Garzarelli
13 Food Specialties, Inc., 223 F.3d 62, 70 (2d Cir. 2000) (internal
14 quotation marks omitted; emphasis in Whidbee). We cannot say, as
15 a matter of law, that no reasonable jury could so conclude in
16 this case. Accordingly, we vacate in part the judgment of the
17 district court and remand for further proceedings.

18 **BACKGROUND**

19 "In setting forth the facts underlying this appeal from
20 the district court's grant of summary judgment to the defendants,
21 we construe the evidence in the light most favorable to the
22 plaintiff, drawing all reasonable inferences and resolving all
23 ambiguities in [the plaintiff's] favor." Colavito v. N.Y. Organ
24 Donor Network, Inc., 438 F.3d 214, 217 (2d Cir. 2006) (citation
25 omitted).

1 Schiano worked as a corporate financial assistant for
2 QPS. The defendant Michael Tintweiss, as a vice president of
3 QPS, had the power to discipline and to terminate the employment
4 of all non-officer QPS employees, including Schiano.

5 In October 2001, Schiano began dating Matthew Barbis, a
6 co-worker at QPS with whom she had been friends since before she
7 began working for the company. The incidents that gave rise to
8 this lawsuit began at a QPS office Christmas party in December
9 2001 and continued until Schiano resigned from her employment in
10 May 2002.

11 The Christmas party took place at a restaurant in
12 Alexandria, Virginia, where a QPS office was located. QPS
13 employees, including Shiano and Tintweiss, who had traveled to
14 Alexandria from the company's Long Island office, stayed
15 overnight at a nearby hotel.

16 At the party, Tintweiss and Schiano began talking about
17 a possible raise for Schiano. After Schiano suggested an amount,
18 Tintweiss told her that if she wanted that much money she was
19 "sleeping with the wrong employee."¹ Schiano contends that she
20 immediately complained to QPS President Bert Geller about
21 Tintweiss's comment, but that he "appeared to laugh off" her

¹ There is some dispute over the precise sequence of events in this conversation. Schiano testified in her deposition that Tintweiss asked her how large a raise she should receive and that she responded with \$100 per week. In the defendants' version of the conversation, Schiano initially joked that she wanted a raise of a million dollars, and Tintweiss made his "sleeping with the wrong employee" remark in response to that initial joking request.

1 complaint. Dep. of Nicole Schiano, Feb. 10, 2004 (Schiano Dep.),
2 at 34.

3 Later that evening, while Schiano sat at a table and
4 spoke with other QPS employees, Tintweiss put his hand on
5 Schiano's thigh, pulling her skirt up a few inches, and took a
6 picture of his hand placed on her leg. The picture is included
7 in the record as Plaintiff's Exhibit F in Support of Cross-Motion
8 for Summary Judgment. Although Schiano denies it, Tintweiss
9 asserted that while he took the picture he said something to the
10 effect of "let's take one to get Matt (Matthew Barbis) jealous
11 and see what he's missing." Aff. of Michael Tintweiss dated Oct.
12 27, 2002, at 2-3. Schiano testified that she pushed Tintweiss's
13 hand away and told him to stop. She says that she told Julius
14 Veit, the supervisor of QPS's tax and accounting department, that
15 Tintweiss was making her uncomfortable.

16 As the Long Island employees were leaving the party,
17 Schiano said something about the hotel rooms being nice; in
18 response, Tintweiss asked if he could come to her hotel room with
19 her. Schiano told him he should look at Veit's room instead.

20 Tintweiss's allegedly inappropriate behavior continued
21 after the Long Island employees returned to their office. In the
22 QPS lunchroom, in front of Barbis and other QPS employees,
23 Tintweiss again told Schiano that she was "sleeping with the
24 wrong employee." And on five or six occasions, while Schiano was
25 seated at her desk, Tintweiss approached her from behind, placed
26 his hands on her back or neck, and leaned into her while she

1 worked. Twice, Tintweiss said that because Barbis was afraid of
2 flying, Schiano should take Tintweiss on vacation with her
3 instead. Commenting on Barbis's fear of flying, Tintweiss yet
4 again told Schiano that she was "sleeping with the wrong
5 employee." He also talked with Barbis about how "hot" Schiano
6 was and what type of underwear she wore.²

7 Schiano testified that from January through April 2002,
8 she complained to Veit about Tintweiss's behavior on a weekly
9 basis. Schiano says that she eventually asked Veit to assemble a
10 partition around her cubicle to prevent having her work
11 interrupted by other employees and to insulate her from Tintweiss
12 and his behavior. She also testified that Tintweiss became
13 visibly upset after the partition was installed, repeatedly
14 leering at Schiano as he passed her cubicle.

15 In late April or very early May 2002, Barbis spoke with
16 Veit about Tintweiss's behavior. On May 2, Veit spoke with
17 Schiano and asked her permission to raise the issue with QPS
18 President Geller. Veit met with Schiano again the following day.
19 He asked her to put her complaints about Tintweiss in writing.
20 Schiano said she would draft a letter during the following
21 weekend. But she ultimately decided not to memorialize her
22 complaint in writing because she "was unsure of how it was going
23 to be used and what repercussions [it] would have." Schiano Dep.
24 at 89. Veit then asked Schiano to speak to Geller about the

² The defendants state that these comments were initiated by Barbis, not by Tintweiss.

1 situation. Schiano refused, stating that she "would not speak
2 with him because [she] was feeling very intimidated and that
3 [she] was caught in the middle." Id. Veit then told Schiano
4 that from that point forward she should no longer report to him,
5 but should report directly to Geller instead. At home that
6 night, Schiano prepared a letter of resignation. The next day,
7 May 7, 2002, Schiano presented Veit with her resignation letter.
8 She told him that she "was very upset at the comments the
9 previous day that [she] was to report to another manager and that
10 [she] no longer felt that [she] wanted to work in that
11 environment." Id. at 92. Veit apologized to her. Recanting his
12 prior statement, he told her that she should continue to report
13 to him.³

14 Schiano declined to withdraw her resignation. On
15 January 30, 2003, she brought this lawsuit alleging that QPS and
16 Tintweiss had violated both Title VII of the Civil Rights Act of
17 1964, 42 U.S.C. § 2000e et seq., and New York State Human Rights
18 Law ("NYSHRL"), by subjecting her to a hostile work environment
19 that culminated in her constructive discharge and by retaliating
20 against her when she complained. The suit also asserts New York
21 state law claims against Tintweiss in his individual capacity as
22 an aider and abettor.

³ In his affidavit, Veit states that he had actually told Schiano this the day before after "I thought about it and I cooled down." Aff. of Julius B. Veit, dated Sept. 1, 2004, at 4.

1 In a memorandum and order dated July 12, 2005, the
2 district court granted summary judgment to the defendants and
3 dismissed Schiano's complaint. See Schiano v. Quality Payroll
4 Sys., Inc., No. 03-CV-492, 2005 WL 1638167 (E.D.N.Y. July 12,
5 2005). The court concluded that Tintweiss's misbehavior was not
6 severe or pervasive enough to constitute a hostile work
7 environment under Harris v. Forklift Systems, Inc., 510 U.S. 17
8 (1993). The court reasoned that, when compared with the facts
9 outlined in relevant decisions of the Second Circuit and other
10 federal courts of appeals, "the behavior to which Schiano was
11 subjected (occasional touching, rude comments, and hostile
12 stares) cannot be said to amount to more than relatively
13 innocuous inciden[t]s of overbearing or provocative behavior. As
14 such, they do not reach the requisite level of employment-
15 altering severity" to support a hostile work environment claim.
16 Schiano, 2005 WL 1638167, at *5 (internal quotation marks and
17 citation omitted). The district court also concluded that Veit's
18 quickly rescinded change in Schiano's reporting structure did not
19 constitute an adverse employment action necessary to sustain a
20 claim for retaliation. Id. at *7-*8. Applying the same standard
21 as for Title VII claims, the court granted summary judgment for
22 the defendants on Schiano's state law claims also. Id. at *8-*9.

23 Schiano appeals.

24 **DISCUSSION**

25 I. Standard of Review

1 As noted, "we construe the evidence in the light most
2 favorable to the plaintiff, drawing all reasonable inferences and
3 resolving all ambiguities in [her] favor." Colavito, 438 F.3d at
4 217 (citation omitted).

5 We have sometimes noted that an extra measure
6 of caution is merited in affirming summary
7 judgment in a discrimination action because
8 direct evidence of discriminatory intent is
9 rare and such intent often must be inferred
10 from circumstantial evidence found in
11 affidavits and depositions. See, e.g., Gallo
12 v. Prudential Residential Servs., 22 F.3d
13 1219, 1224 (2d Cir. 1994). Nonetheless,
14 "summary judgment remains available for the
15 dismissal of discrimination claims in cases
16 lacking genuine issues of material fact."
17 McLee v. Chrysler Corp., 109 F.3d 130, 135
18 (2d Cir. 1997); see also Abdu-Brisson v.
19 Delta Air Lines, Inc., 239 F.3d 456, 466 (2d
20 Cir. 2001) ("It is now beyond cavil that
21 summary judgment may be appropriate even in
22 the fact-intensive context of discrimination
23 cases.").

24 Holtz, 258 F.3d at 69 (hostile work environment and retaliation
25 claim).

26 II. Quid Pro Quo

27 On appeal, Schiano characterizes her case as based both
28 on quid pro quo sexual harassment and a sex-based hostile work
29 environment. "Although the terms 'quid pro quo' and 'hostile
30 work environment' do not appear in the text of Title VII, they
31 are useful to distinguish between 'cases involving a threat which
32 is carried out and offensive conduct in general.'" Mormol v.
33 Costco Wholesale Corp., 364 F.3d 54, 57 (2d Cir. 2004) (quoting
34 Burlington Indus., Inc. v. Ellerth, 524 U.S. 742, 753 (1998))
35 (emphasis omitted).

1 "When a plaintiff proves that a tangible employment
2 action resulted from a refusal to submit to a supervisor's sexual
3 demands, he or she establishes that the employment decision
4 itself constitutes a change in the terms and conditions of
5 employment that is actionable under Title VII." Ellerth, 524
6 U.S. at 753-54. If, however, a "claim involves only unfulfilled
7 threats, it should be categorized as a hostile work environment
8 claim which requires a showing of severe or pervasive conduct."
9 Id. at 754. "The terms quid pro quo and hostile work environment
10 are helpful, perhaps, in making a rough demarcation between cases
11 in which threats are carried out and those where they are not or
12 are absent altogether, but beyond this are of limited utility."
13 Id. at 751 (emphasis omitted).

14 The defendants argue that in characterizing her claim
15 as quid pro quo sexual harassment, Schiano has impermissibly
16 raised a new argument on appeal that was not properly briefed
17 before the district court. Generally, a plaintiff must fairly
18 present his or her arguments to the district court in order to
19 pursue them on appeal. See, e.g., Nichols v. Prudential Ins. Co.
20 of Am., 406 F.3d 98, 106 (2d Cir. 2005). But we are hesitant to
21 suggest a rigid rule that would require plaintiffs to use the
22 words "quid pro quo" or "hostile work environment" in the
23 district court or else be treated as having waived such claims.
24 Because these terms are judicially created for analytical
25 purposes, not distinctions in the statute itself, we think it is
26 most appropriate, at least in the case before us, to look to the

1 substance of the alleged misconduct of which the plaintiff
2 complains rather than the terms used to describe it. If, for
3 example, a plaintiff were to argue that she had been demoted for
4 refusing to respond positively to her supervisor's sexual
5 overtures, we would think that the assertion would preserve her
6 quid pro quo claim even if she never used the phrase "quid pro
7 quo" in the district court to describe it.

8 But even if Schiano properly made and preserved her
9 quid pro quo claim, it fails on the merits. To state a quid pro
10 quo claim, Schiano must show a "tangible employment action,"
11 i.e., that an "explicit . . . alteration[] in the terms or
12 conditions of employment" resulted from her refusal to submit to
13 Tintweiss's sexual advances. Mormol, 364 F.3d at 57 (internal
14 quotation marks and citation omitted); see also Jin v. Metro.
15 Life Ins. Co., 310 F.3d 84, 97 (2d Cir. 2002). A tangible
16 employment action usually "'constitutes a significant change in
17 employment status, such as hiring, firing, failing to promote,
18 reassignment with significantly different responsibilities, or a
19 decision causing a significant change in benefits.'" Mormol, 364
20 F.3d at 57 (quoting Ellerth, 524 U.S. at 761). But Schiano makes
21 no allegation and presents no evidence that she was passed over
22 for an expected raise or that any of her material benefits were
23 actually in jeopardy. Indeed, Schiano testified that her salary
24 was increased in January 2002, after Tintweiss's behavior at the
25 Christmas party. While Tintweiss's remarks about Schiano
26 "sleeping with the wrong employee" are relevant to Schiano's

1 hostile work environment claim, there is no evidence that that
2 comment, when made at the Christmas party, could be objectively
3 perceived as a "threat" or that it was subsequently carried out.

4 III. Hostile Work Environment

5 "When the workplace is permeated with discriminatory
6 intimidation, ridicule, and insult [based on, inter alia, sex]
7 that is sufficiently severe or pervasive to alter the conditions
8 of the victim's employment and create an abusive working
9 environment, Title VII is violated." Harris, 510 U.S. at 21
10 (internal quotation marks and citations omitted); see also Alfano
11 v. Costello, 294 F.3d 365, 373-74 (2d Cir. 2002) (same). A jury
12 must be able to conclude that the work environment both
13 objectively was, and subjectively was perceived by the plaintiff
14 to be,⁴ sufficiently hostile to alter the conditions of
15 employment for the worse. Id.; see also Feingold v. New York,
16 366 F.3d 138, 150 (2d Cir. 2004).

17 In Harris, the Supreme Court provided a nonexhaustive
18 list of factors the factfinder should consider in determining
19 whether a hostile work environment exists, including "the
20 frequency of the discriminatory conduct; its severity; whether it
21 is physically threatening or humiliating, or a mere offensive
22 utterance; and whether it unreasonably interferes with an

⁴ The defendants do not appear to contest that Schiano subjectively experienced a hostile work environment. Indeed, they acknowledge that Schiano complained to co-workers and a social worker about Tintweiss's conduct in late 2001 and early 2002.

1 employee's work performance." Harris, 510 U.S. at 23. These
2 four factors guide the factfinder in making what is ultimately a
3 factual, not a legal, determination. The Supreme Court noted
4 that whether sexual harassment alters the conditions of
5 employment "is not, and by its nature cannot be, a mathematically
6 precise test," id. at 22, and "can be determined only by looking
7 at all the circumstances," id. at 23. "[N]o single factor is
8 required." Id. Perhaps, "[a]s a practical matter" this standard
9 may "let[] virtually unguided juries decide whether sex-related
10 conduct engaged in (or permitted by) an employer is egregious
11 enough to warrant an award of damages," but there is "no test
12 more faithful to the inherently vague statutory language" that we
13 are bound to apply. Id. at 24, 25 (Scalia, J., concurring).

14 We thus cautioned in Richardson v. New York State
15 Department of Correctional Service, 180 F.3d 426 (2d Cir. 1999),
16 that hostile work environment claims present "mixed question[s]
17 of law and fact" that are "especially well-suited for jury
18 determination." Id. at 437 (internal quotation marks omitted);
19 see also Holtz, 258 F.3d at 75 (describing question of hostile
20 work environment as "one of fact"). "[T]hat the facts are
21 undisputed does not automatically mandate summary judgment;
22 rather, summary judgment is appropriate only where application of
23 the law to those undisputed facts will reasonably support only
24 one ultimate conclusion." Richardson, 180 F.3d at 438.

25 "'An Article III judge is not a hierophant of social
26 graces'" and is generally in no better position than a jury to

1 determine when "conduct crosse[s] the line between boorish and
2 inappropriate behavior and actionable sexual harassment." Holtz,
3 258 F.3d at 75 (quoting Gallagher v. Delaney, 139 F.3d 338, 347
4 (2d Cir. 1998)) (some quotation marks omitted). In this case, as
5 in Holtz, "[a]lthough that line is admittedly indistinct, its
6 haziness counsels against summary judgment." Id.⁵

7 The district court was of course right to consult the
8 case law when determining whether a rational factfinder could

⁵ In granting summary judgment, the district court relied on our decision in Alfano, in which we overturned a jury verdict in favor of the plaintiff because we concluded that the evidence submitted at trial was insufficient as a matter of law to demonstrate a hostile work environment. But in that case, we noted that in some instances it may be more appropriate to overturn a jury verdict after trial than to grant summary judgment beforehand. While it may be proper to deny summary judgment pre-trial to give the plaintiff a chance to connect the dots during his or her presentation of evidence, once the trial has completed, the plaintiff's claims must be evaluated according to the evidence he or she actually presented to the jury:

In a hostile work environment case, it may well be a proper exercise of the district court's broad discretion to allow the plaintiff to build her case partly by adducing incidents for which the link to any discriminatory motive may, in the first instance, appear tenuous or nonexistent. The plaintiff must, however, establish at trial that incidents apparently sex-neutral were in fact motivated by bias. Thus, at the close of her case, to the extent that the plaintiff relies on facially neutral incidents to create the quantum of proof necessary to survive a Rule 50 motion for judgment, she must have established a basis from which a reasonable fact-finder could infer that those incidents were infected by discriminatory animus.

Alfano, 294 F.3d at 377.

1 conclude that the plaintiff was subjected to a hostile work
2 environment. But the court appears to have resolved Schiano's
3 claims by examining each factor from Harris in isolation,
4 comparing and contrasting its presence in Schiano's allegations
5 with the fact patterns from previous cases.

6 The Supreme Court cautioned in Harris that its
7 references to cases describing "environments so heavily polluted
8 with discrimination as to destroy completely the emotional and
9 psychological stability of minority group workers merely present
10 some especially egregious examples of harassment. They do not
11 mark the boundary of what is actionable." Harris, 510 U.S. at 22
12 (internal quotation marks and citations omitted). We have
13 similarly warned that "the fact that the law requires harassment
14 to be severe or pervasive before it can be actionable does not
15 mean that employers are free from liability in all but the most
16 egregious of cases." Richardson, 180 F.3d at 439 (internal
17 quotation marks and citation omitted); see also Whidbee, 223 F.3d
18 at 70; Torres v. Pisano, 116 F.3d 625, 631-32 (2d Cir. 1997).
19 Prior cases in which we have concluded that a reasonable juror
20 could find that the work environment was sufficiently objectively
21 hostile do not "establish a baseline" that subsequent plaintiffs
22 must reach in order to prevail. Richardson, 180 F.3d at 439.

23 The district court attempted to distinguish Howley v.
24 Town of Stratford, 217 F.3d 141 (2d Cir. 2000), which involved a
25 supervisor loudly berating a female employee in front of her
26 subordinates with a "verbal assault includ[ing] charges that

1 Howley had gained her office of lieutenant only by performing
2 fellatio." Id. at 154. The district court concluded that
3 Tintweiss's conduct was not as humiliating as the conduct in
4 Howley. See Schiano, 2005 WL 1638167, at *6. But in Howley we
5 observed that that single incident was so severe that it could
6 have created a hostile work environment even in isolation,
7 unrepeatd and unaccompanied by other conduct. See Howley, 217
8 F.3d at 154. Schiano, by contrast, does not assert that any one
9 of Tintweiss's acts was alone sufficient to create an unlawful
10 hostile work environment. She alleges an ongoing pattern of
11 sexually offensive and humiliating conduct. Whether any single
12 act was as severe as the single act in Howley is not dispositive.
13 By extracting the question of how "humiliating" an instance was
14 from the larger context of the case, the district court failed to
15 evaluate the relevant conduct as a whole.

16 We think that the district court similarly erred in
17 concluding that Tintweiss's conduct did not, as a matter of law,
18 unreasonably interfere with Schiano's job performance because it
19 did not rise to the same level of interference as did the
20 misbehavior in Howley or Holtz. See Schiano, 2005 WL 1638167, at
21 *6. According to the plaintiff, Tintweiss's harassment was so
22 distracting that it motivated her, in part, to request that a
23 partition be set up specifically around her desk. The defendants
24 argue that Schiano requested a cubicle solely because she wanted
25 privacy from all employees in general, but that cannot be
26 resolved on this record as a matter of law.

1 More important, "[w]hether or not the harassment
2 interferes with an employee's ability to work is merely one
3 factor to be considered when looking at the totality of
4 circumstances to determine whether a hostile work environment has
5 been created." Terry v. Ashcroft, 336 F.3d 128, 149 (2d Cir.
6 2003). Tintweiss's conduct might not have incapacitated her, but
7 a reasonable juror could infer that it did have an effect on her
8 working conditions. See Harris, 510 U.S. at 25 (Scalia, J.,
9 concurring) ("[T]he test is not whether work has been impaired,
10 but whether working conditions have been discriminatorily
11 altered."); id. at 25 (Ginsburg, J., concurring) ("[T]he
12 plaintiff need not prove that his or her tangible productivity
13 has declined as a result of the harassment. It suffices to prove
14 that a reasonable person subjected to the discriminatory conduct
15 would find, as the plaintiff did, that the harassment so altered
16 working conditions as to make it more difficult to do the job."
17 (internal quotation marks and citations omitted; alterations
18 incorporated)).

19 In Quinn v. Green Tree Credit Corp., 159 F.3d 759 (2d
20 Cir. 1998), we affirmed a district court's grant of summary
21 judgment to the defendants. The plaintiff in that case alleged
22 that her supervisor told her that she had been "voted the
23 'sleekest ass' in the office" and on another occasion
24 "deliberately touched [her] breasts with some papers that he was

1 holding in his hand." Id. at 768.⁶ But the fact that such
2 actions did not constitute a hostile work environment in Quinn's
3 case, when considered as part of all the circumstances there,
4 does not establish a rule that similar actions in another context
5 would not, as a matter of law, amount to one. These
6 determinations are to be made on a case by case basis considering
7 all the individual facts at hand.

8 Similarly, the district court cited our decision in
9 Mormol for the proposition that "occasional threats or
10 insinuations that employment benefits will be granted or denied
11 based on sexual favors do not suffice to create a hostile work
12 environment." Schiano, 2005 WL 1638167, at *5 (citing Mormol,
13 364 F.3d at 58-59). As an abstract proposition of law, we think
14 this statement to be incorrect. Threats or insinuations that
15 employment benefits will be denied based on sexual favors are, in
16 most circumstances, quintessential grounds for sexual harassment
17 claims, and their characterization as "occasional" will not
18 necessarily exempt them from the scope of Title VII. See

⁶ The plaintiff in Quinn also made numerous other allegations, which the Quinn panel excluded from its analysis because they were either untimely or could not be attributed to the employer. See Quinn, 159 F.3d at 766-68. But, as the Quinn panel noted, it would be equally acceptable for a court first to consider whether the alleged actions in their totality constituted a hostile work environment and then to determine which acts could be attributed to the defendant at the second stage of analysis. See id. at 767 n.8 (citing Distasio v. Perkin Elmer Corp., 157 F.3d 55 (2d Cir. 1988)). Indeed, the approach taken by the Distasio panel may place courts in a better position to evaluate a plaintiff's allegations within the larger context of the working environment.

1 Ellerth, 524 U.S. at 753-54 (characterizing threats that are
2 carried out as quid pro quo claims and unfulfilled threats as
3 hostile work environment claims). The particular threats and
4 insinuations in Mormol did not rise to the level of a hostile
5 work environment because they "were few and occurred over a short
6 span of time, most of which plaintiff spent on vacation," Mormol,
7 364 F.3d at 59, and they were "not sufficiently severe to
8 overcome [their] lack of pervasiveness." Id. It cannot be said
9 that Tintweiss's conduct towards Schiano was similarly
10 insufficient in her case.⁷

11 There are, of course, cases in which it is clear to
12 both the trial court and the reviewing court that after assessing
13 the frequency of the misbehavior measured in light of its
14 seriousness, the facts cannot, as a matter of law, be the basis
15 of a successful hostile work environment claim. As already
16 noted, it is the law of this Circuit that "summary judgment
17 remains available for the dismissal of discrimination claims in
18 cases lacking genuine issues of material fact," McLee v. Chrysler
19 Corp., 109 F.3d 130, 135 (2d Cir. 1997), and "may be appropriate

⁷ In Mormol the employer intervened swiftly to suspend the supervisor and then to fire him. See Mormol, 364 F.3d at 56. If the employer had been slower to punish his misbehavior, that neglect would have been an additional factor to consider in assessing the plaintiff's overall work environment. It seems reasonable to view unpunished misconduct as being more harmful or harassing than punished misconduct. Cf. Mack v. Otis Elevator Co., 326 F.3d 116, 125 (2d Cir. 2003) ("'It is precisely because the supervisor is understood to be clothed with the employer's authority that he is able to impose unwelcome sexual conduct on subordinates.'" (quoting Ellerth, 524 U.S. at 763)).

1 even in the fact-intensive context of discrimination cases,"
2 Abdu-Brisson v. Delta Air Lines, Inc., 239 F.3d 456, 466 (2d
3 Cir.), cert. denied, 534 U.S. 993 (2001); see also Holtz, 258
4 F.3d at 82, 84. But we cannot say that no reasonable jury would
5 conclude that the misbehavior complained of in Schiano's case, in
6 light of, inter alia, its frequency, the nature of the words
7 exchanged, the context in which they were uttered, the physical
8 nature of some of acts complained of, the response of the
9 harasser to the steps Schiano took to repel the unwanted
10 advances, and the effect of it all on Schiano's ability to do her
11 job, was severe or pervasive enough to alter the conditions of
12 Schiano's employment for the worse. Even assuming that, as in
13 Richardson, "[r]easonable jurors may . . . disagree about whether
14 these incidents would negatively alter the working conditions of
15 a reasonable employee[,] . . . the potential for such
16 disagreement renders summary judgment inappropriate."
17 Richardson, 180 F.3d at 439.⁸

18 IV. Retaliation Claim

19 In order to establish a prima facie case of
20 retaliation, Schiano must show that: (1) she engaged in a

⁸ Although the district court, in dismissing the action in its entirety, did not distinguish between defendants' QPS and Tintweiss, we affirm the district court's dismissal of the Schiano's federal sexual harassment claim against Tintweiss because an individual defendant cannot be held personally liable under Title VII. See Tomka v. Seiler Corp., 66 F.3d 1295, 1313-14 (2d Cir. 1995), abrogated on other grounds by Ellerth, supra. Individual defendants may, however, be sued in their personal capacities for sexual harassment under the NYHRL. See id. at 1313; see also, infra, section V.

1 protected activity; (2) her employer was aware of this activity;
2 (3) the employer took adverse employment action against her; and
3 (4) a causal connection exists between the alleged adverse action
4 and the protected activity. Treglia v. Town of Manlius, 313 F.3d
5 713, 719 (2d Cir. 2002). Schiano asserts on appeal that she
6 suffered three adverse employment actions: (1) her "adverse"
7 work environment, (2) the change in reporting structure, and (3)
8 constructive discharge.⁹

9 Both allegations as to adverse employment actions (1)
10 and (3), if proved true, would fail to establish a prima facie
11 case of retaliation. For neither does Schiano allege -- and for
12 both she plainly cannot establish on the basis of the evidence in
13 the record -- the required "causal connection between the
14 protected activity and the adverse employment action." Feingold,
15 366 F.3d at 156 (internal quotation marks omitted). The relevant
16 inquiry for Schiano's retaliation claim must focus on the

⁹ To the extent that Schiano continues to assert a separate constructive discharge claim on appeal, her claim fails because she cannot show that "her employer deliberately and discriminatorily created work conditions so intolerable that a reasonable person in the employee's position would have felt compelled to resign." Ferraro v. Kellwood Co., 440 F.3d 96, 101 (2d Cir. 2006) (internal quotation marks and citation omitted). In Schiano's case, as in Whidbee, "[a]s ineffective or even incompetent as [the company's] handling of the matter may have been, it does not rise to the level of deliberate action required by our precedent." Whidbee, 223 F.3d at 74 (denying summary judgment to defendants on plaintiffs' hostile work environment claim but granting summary judgment on their constructive discharge claim); see also Pena v. Brattleboro Retreat, 702 F.2d 322, 325 (2d Cir.1983) (finding no constructive discharge in part because evidence showed that defendant wanted employee to remain in its employ).

1 retaliation she suffered for complaining about the harassment,
2 not on the initial harassment itself. Schiano must show that the
3 defendants took an adverse employment action against her in
4 response to her complaints. They did not, in this case, based on
5 the uncontested record evidence.

6 With respect to the change in Schiano's reporting
7 structure, we conclude that it does not rise to the level of an
8 adverse employment action. "An adverse employment action is a
9 'materially adverse change in the terms and conditions of
10 employment.'" Weeks v. New York State (Div. of Parole), 273 F.3d
11 76, 85 (2d Cir. 2001) (quoting Galabya v. N.Y. City Bd. of Educ.,
12 202 F.3d 636, 640 (2d Cir. 2000)), abrogated on other grounds,
13 Nat'l R.R. Passenger Corp. v. Morgan, 536 U.S. 101 (2002).

14 "Examples of materially adverse changes include termination of
15 employment, a demotion evidenced by a decrease in wage or salary,
16 a less distinguished title, a material loss of benefits,
17 significantly diminished material responsibilities, or other
18 indices unique to a particular situation." Fairbrother v.
19 Morrison, 412 F.3d 39, 56 (2d Cir. 2005) (internal quotation
20 marks omitted; alteration incorporated).¹⁰

21 In this case, whether or not the change in reporting
22 structure can properly be characterized as adverse treatment,

¹⁰ As in Fairbrother, we need not and do not decide whether a "tangible employment action" under Ellerth in its discussion of quid pro quo sexual harassment claims, see supra section II, is different from an "adverse employment action" as that term is understood in the employment discrimination case law. See Fairbrother, 412 F.3d at 53 n.6; see also Jin, 310 F.3d at 93.

1 Veit rescinded the change the following day in response to
2 Schiano's complaint, and did so with an apology. We conclude
3 that no reasonable factfinder could find that Veit's conduct rose
4 to the level of an adverse employment action. We therefore
5 affirm the district court's dismissal of Schiano's retaliation
6 claims.

7 V. State Law Claims

8 Because the district court granted summary judgment for
9 the defendants on Schiano's federal claims, it concluded that, a
10 fortiori, summary judgment should also be granted on Schiano's
11 state law hostile work environment and retaliation claims and her
12 aider and abettor claim against Tintweiss. See Schiano, 2005 WL
13 1638167, at *8-*9. Hostile work environment and retaliation
14 claims under the NYSHRL are generally governed by the same
15 standards as federal claims under Title VII. See Smith v. Xerox
16 Corp., 196 F.3d 358, 363 n.1 (2d Cir. 1999); Van Zant v. KLM
17 Royal Dutch Airlines, 80 F.3d 708, 714-15 (2d Cir. 1996).

18 For the same reasons that Schiano has failed to present
19 a triable issue of fact for her federal retaliation claim, her
20 state retaliation claim also fails. Schiano's hostile work
21 environment claim under the NYSHRL, however, like her claim under
22 Title VII, should proceed to trial. Schiano's derivative claim
23 under the NYSHRL that Tintweiss aided and abetted the creation of
24 a hostile work environment similarly survives the defendants'
25 motion for summary judgment. See Feingold, 366 F.3d at 157-58.

CONCLUSION

For the foregoing reasons, the judgment of the district court is affirmed with respect to the plaintiff's federal and state retaliation, quid pro quo harassment, and constructive discharge claims, and with respect to plaintiff's federal sexual harassment claim against Tintweiss in his individual capacity. With respect to Schiano's federal and state claims based on her allegations of a hostile work environment against QPS and the state claim against Tintweiss for aiding and abetting the creation of a hostile work environment, the judgment of the district court is vacated and remanded for further proceedings.